



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b> <b>C09J 109/04, 109/08, 109/10, 113/02, 131/04, 133/06</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 97/40113</b> <b>(43) International Publication Date:</b> 30 October 1997 (30.10.97)
<b>(21) International Application Number:</b> PCT/US97/00832 <b>(22) International Filing Date:</b> 17 January 1997 (17.01.97)  <b>(30) Priority Data:</b> 08/636,735 19 April 1996 (19.04.96) US 08/715,129 17 September 1996 (17.09.96) US  <b>(71) Applicant:</b> ADVANCED ADHESIVE TECHNOLOGY, INC. [US/US]; 424 South Spencer Street, P.O. Box 1887, Dalton, GA 30722-1887 (US).  <b>(72) Inventors:</b> WOOD, Benny, R.; 187 Tates Estates Road, Rome, GA 30161-9107 (US). BURCHETT, Howard, Jr.; 387 Sunset Drive S.E., Calhoun, GA 30701 (US).  <b>(74) Agent:</b> MERSEREAU, C., G.; Haugen and Nikolai, P.A., 820 International Centre, 900 Second Street South, Minneapolis, MN 55402 (US).		<b>(81) Designated States:</b> CA, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>With amended claims and statement.</i>
<b>(54) Title:</b> WATER DISPERSIBLE ADHESIVE  <b>(57) Abstract</b>  A high shear strength, solvent-free water-based adhesive composition for removably fixing flooring materials which includes a major amount of an aqueous latex dispersion of a polymer latex base material with an optional minor amount of polyether or polyester-polyurethane synthetic resin, an amount of rosin acid ester hardener, an amount of a thickener together with an amount of inert filler and an amount of a plasticizer/extender in sufficient water to adjust the percent solids to the desired amount.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

-1-

### WATER DISPERSIBLE ADHESIVE

This application is a continuation-in-part of co-pending application Serial No. 08/636,735, filed April 19, 1996, which, itself, is a continuation-in-part of a co-pending application Serial No. 08/423,591, filed April 17, 1995, which is a continuation of application Serial No. 08/254,317, filed June 6, 1994, now U.S. Patent No. 5 455 293, issued October 3, 1995. Any material found in the above-referenced applications not specifically contained herein is further deemed incorporated by reference for any purpose.

### BACKGROUND OF THE INVENTION

#### I. Field of the Invention

The present invention relates generally to industrial and commercial adhesives and, more particularly, to a solvent-free, water-based polymer latex adhesive which may contain an amount of water-based polyurethane latex that may be used advantageously to adhere a wide variety of types of floor covering materials to a variety of flooring surface materials. The adhesive is solvent-free and relatively water and solvent resistant and may undergo further cross-linked to provide additional environmental resistance as desired, particularly for outdoor installations.

#### II. Related Art

Presently, the most prevalent, conventional multi-purpose floor covering adhesive materials include a styrene-butadiene latex (SBR) or an acrylic latex material. Conventional premium grade multi-purpose floor covering adhesives using styrene-butadiene latex (SBR) generally include SBR latex, water, alkali (pH modifiers), Kaolin Clays slurry (fillers), aliphatic resins, naphthanic oil (emulsified), freeze-thaw additives, antimicrobial additives and thickeners. The aliphatic resins and naphthanic process oils require emulsifiers, normally surfactants, such as fatty acid, tall oil or laural sulfate based surfactants. These adhesives have been used for a

period of time to successfully attach a variety of materials to a variety of floors.

However, conventional SBR-based multi-purpose floor covering adhesives do have drawbacks which limit their desirability. While generally described as multi-purpose, the formulas typically must be modified to accommodate each specific type installation, i.e., each distinct floor covering product to be glued. Conventional SBR adhesives exhibit very poor alkali and moisture resistance, making them unsuitable, for example, for outdoor use. These materials also do not exhibit long-term resistance to plasticizer migration when used, for example, in conjunction with polyvinyl chloride materials with dense vinyl backing. SBR latex adhesives characteristically have poor resistance to soaps, surfactants, and solvents (for example, VM&P naphtha, paint thinners, degreasers, and other cleaning liquids). Furthermore, these adhesive materials include naphthanic oils and/or aliphatic resins which must be emulsified, i.e., these materials must be modified with amounts of surfactants (e.g., fatty acids, tall oils or laural sulfate-based surfactants) to provide adequate compound stability and extended storage or shelf life.

The conventional SBR material itself, also, has definite practical installation limitations. The material requires a rather long (24-72 hours) curing time to develop maximum bond strength. In addition, the curing and set-up cycle are further dramatically affected by cure temperature, relative humidity and other environmental conditions. The volatile solvent materials are also undesirable VOC emitters.

Both water dispersible polyisocyanates (polyurethanes) and methods for obtaining stable aqueous dispersions of hydrophobic polyisocyanates through chemical modifications are known. It is also known to utilize external surfactants or additives or high shear mechanical devices to create relatively stable water dispersions of

hydrophobic polyisocyanates. Markusch (U.S. Patent 4 904 522), and Tirpak et al (U.S. 5 185 200) have disclosed the preparation and use of aqueous polyisocyanate emulsions for use as binders in the production of  
5 fiberglass mats. Aqueous compositions containing encapsulated polyisocyanate which contains unreacted isocyanate groups also are disclosed by Markusch et al in U.S. Patent 5 191 012). Tirpak et al, in U.S. Patent 5 212 230, create an aqueous polyurea dispersion by  
10 reacting a concentrated polyisocyanate and a polyamine in an aqueous medium. Another aqueous binder composition containing a trimerization catalyst that provides aqueous compositions which do not require water to cure and which possess good adhesion properties with regard to glass  
15 fibers are illustrated by Metzger et al (U.S. 5 157 074). In addition, dispersions of polyisocyanates in aqueous solutions have been used as sealants to prevent the evaporation of water and thereby to improve the cure and strength of green concrete. The material is applied as a  
20 dilute aqueous solution and such a system is shown by Markusch et al (U.S. Patent 5 236 994).

Tsukahara et al in U.S. Patent 4 745 026 describe a thermal delayed tack composition in which an adhesive polymer is combined with a particulate solid plasticizer  
25 and a tackifier. This material is non-tacky when applied to a surface at ordinary temperature and requires an additional heating or activation step to achieve the tackiness required for the material to operate as an adhesive. Adhesive materials such as this that require an  
30 activation step after application as by heat to achieve full adhesive properties are often referred to as two-step adhesives or the use of them as a two-step bonding process. Adhesive materials that achieve full tackiness or adhesion properties and cure as applied from the container without  
35 the need for any separate activation step are referred to as single-step adhesives. It will readily be appreciated that a second step requirement, particularly one such as

heat activation, for example, presents a serious drawback where the adhesive is used over a large surface such as in the application of floor coverings.

5 The adhesive of the present invention are single-step or one-step adhesives which are quite tacky as applied and remain tacky indefinitely. The material will set up and cure as applied without the need for the application of heat or other additional treatments. The materials of the invention are pressure sensitive materials particularly  
10 designed to achieve high shear strength in a relatively short time so that floor coverings applied can be used soon after application without fear of displacement from the applied desired location.

The known art, however, does not suggest the use of  
15 water-dispersible polyisocyanate modified polymer latex based adhesive materials as general adhesives; in particular, the art does not suggest a solvent-free system of the class that is of particular value as floor covering adhesives.

20 It is an object of the present invention to provide a one-step universal, water-based adhesive formulated with a polymer latex optionally modified with a polyisocyanate (polyurethane) latex suitable to adhere many types of materials.

25 Another object of the invention is to provide a one-step cross-linkable universal, water-based, polymer latex floor covering adhesive suitable to adhere all types of floor covering materials and suitable for indoor or outdoor use without the drawbacks of conventional SBR-based  
30 formulas.

A further object of the invention is to provide a high shear strength universal, one-step water-based adhesive material suitable for indoor or outdoor use that allows removal and replacement of the floor covering material.

35 Yet another object of the invention is to provide a universal, water-based polymer/polyurethane latex floor covering adhesive which is solvent-free and has extremely

low VOC emission rendering it environmentally benign for indoor as well as outdoor use.

A still further object of the present invention to provide a universal water-based polymer/polyurethane latex  
5 floor covering adhesive that can be used soon after installation.

Yet still another object of the present invention is to provide a water-based universal floor covering adhesive that is extremely resistant to most common household and  
10 industrial solvents and cleaners.

Still another object of the invention is to provide a water-based floor covering adhesive that is highly resistant to surface moisture emission or infiltration.

A yet still further object of the invention is to  
15 provide a water-based floor covering adhesive that is alkali resistant and resistant to plasticizer migration.

Other objects and advantages should become apparent to those skilled in the art upon further consideration of the description and accounts contained in this specification.

#### 20 SUMMARY OF THE INVENTION

The present invention involves unique, universally applicable water-dispersible polymer latex based, polyurethane modified adhesive formulations which function especially well in removably fixing all types of  
25 floor covering materials in place but whose useful applicability extends far beyond floor coverings to a vast variety of products to be glued. This universality can be successfully accomplished with a few minor formulation modifications, such as the addition or modification of  
30 cross-linking agents. The materials have a variety of beneficial attributes making them more desirable than and superior to present products for like uses. The materials have a relatively long, stable shelf life, are solvent-free, and extremely low VOC emitters, making them  
35 environmentally advantageous, especially in closed spaces. The need for aliphatic resins and naphthenic process oils and special surfactants have been eliminated.

The materials demonstrate excellent green tack and exhibit very strong early shear bonding (10-12 psi) within a few hours (1-2 hours) of application. Adequate tensile strength may be reached in as little as 6-7 hours after application. Although the materials remain tacky indefinitely, they achieve sufficient (~ 20 psi) lift tensile strength to be more than adequate for retention. the tacky condition allows adhered materials to be lifted off and replaced at a future time with a minimum of labor and damage. Formulations in accordance with the invention are further highly alkali resistant (up to at least a pH of 11.0) and plasticizer migration resistant.

In the case of use on porous concrete or wood floors or other permeable surfaces in which surface moisture emission or infiltration occurs, the material can be used as a primer or sealcoat in addition to being used to bond the flooring materials. When it is used as a primer or sealcoat, the material will resist surface moisture emission rates as high as 5.5 - 6.0 pounds per 1000 square feet per 24 hours. Thus, the material can be used to seal high-seepage floors, for example, using a two-coat process in which a first coat is applied and allowed to cure; and thereafter, a second coat is applied to bond the flooring material in a one-step bonding operation.

While the base materials are generally self-cross-linking, certain properties of the water-dispersible universal adhesive of the invention can be modified, particularly in a polyurethane fraction, using additional cross-linkers in the formula, if desired. The cross-linkers further decrease time to maximum bonding strength and greatly increase the water resistance of the final bond, which is especially desirable for outdoor use. The cured, cross-linked material further is also extremely resistant to most common household and industrial solvents and cleaners (for example, VM&P naphtha, gasoline, paint thinners, degreasers, cleaning liquids and the like) and exhibits good heat resistance (stable to 180°F, 82°C).



The preferred formulation includes a major fraction of a water dispersible polymer latex based materials such as as acrylics, styrene-butadiene, carboxylated styrene-butadiene, nitriles, neoprenes, ethylene vinyl acetates in combination with a minor amount of water dispersible polyisocyanate latex (polyurethane latex), an amount of a resin hardening agent (rosin acid ester dispersion), an amount of polyacrylate thickening agent combined in an amount of water to give the desired percent solids. The formulas typically also include inert filler additives and plasticizer/extender modifiers. Cross-linking agents such as aliphatic polyisocyanates or poly-functional water dispersible isocyanates, aziridines and carbodiimides may be utilized in minor amounts with the polyurethane material if additional cross-linking is desired in a polyurethane fraction of a particular application. A preferred (weight) formulation range appears next below.

## PREFERRED FORMULATION RANGE

20	Polymer Latex Based Materials (such as Acrylics, Styrene-butadiene, Carboxylated Styrene-butadiene, Nitriles, Neoprenes, Ethylene Vinyl Acetates)	50-100 parts
25	Polyester or Polyether-Polyurethane Latex Modifiers	0- 80 parts
30	Inert Filler Additives (Kaolins, Calcium Carbonates, Barium Sulfates, Silicate spheres, Ground reprocessed rubber, Cellulose, synthetic fiber (reclaimed))	0-160 parts
	Plasticizer/Extender Modifiers (paraffinics, naphthenics, phthalates)	0- 15 parts

	Rosin Acid Ester	25- 70 parts
	Polyacrylate (thickener)	1 - 5 parts
	Water (adjustment for solids)	50- 70 percent
5	solids	
	Polyisocyanate Cross-linker (aliphatic polyisocyanate, aziridine, or carbodiimide cross-linker)	2- 10 parts

10 The open time is not limited because the material remains tacky indefinitely. Relatively high shear strength is achieved quickly, i.e., in 1-3 hours.

#### DETAILED DESCRIPTION

15 The polyurethane latex modified polymer latex based adhesives of the present invention contemplate wide usage as universal water-based adhesives. While other uses, are contemplated, in the detailed description of the specification, is directed to using the material to adhere any type of floor covering material/floor combination. Optional additional cross-linking may be used to impart  
20 greater solvent resistance and environmental stability to accommodate more severe application conditions. Types of carpet materials typically include Actionback (a trademark of Patchoque-Plymouth Division of Amoco Fabrics), jute-backed; latex or urethane unitary structure; carpet tiles  
25 (polyvinyl chloride, urethane or polyurethane or polypropylene) hot-melt secondaries, e.g., Unibond (a trademark of Burlington Industries Lees Carpets), carpets with attached cushion backings or urethane or rubber polymer backings and in direct or double glued systems (pad  
30 to floor and carpet to pad) also adhere well. All types of sheet vinyl materials may be fixed including those that are mineral, paper or felt-backed; cushion vinyls (full spread or perimeter installations); pure vinyl (full spread); linoleums; and pure vinyl or vinyl composition tiles.

Other floor coverings contemplated include rubber radial tiles, ceramic tiles, vinyl planks, laminated wood parquet and laminated wood plank. Installation may be indoors or outdoors or under more stressful environments.

5       The qualities of the formulations in accordance with the present invention are generally superior to conventional adhesives including conventional styrene-butadiene latex (SBR) and/or acrylic latex materials with respect to user friendliness in adhesion and environmental  
10 consideration and environmental stability. The water-based materials are extremely stable in storage and contain no volatile solvents or other chemical ingredients which emit undesirable vapors. The materials remain tacky as to allow any open time needed by installers. The installed material  
15 quickly reaches a high degree of shear resistance so that after about one hour the location is fixed. Tensile strength increases to about 20 psi over time, but the material never becomes hard and remains tacky or adhesive to the touch so that removal or lift-off of flooring  
20 materials adhered with the adhesives of the invention can be accomplished with a minimum of effort. Thus, an important aspect of the polyester-polyurethane modified polymer latex-based water-based system is that it is quite user friendly, both for the installation due to rapid shear  
25 strength build-up and removal/replacement aspects due to peelability.

The adhesives are tacky (sticky to the touch) as applied and are one-step bonders that require no separate activation step to fully cure or stabilize. High shear  
30 bonding time (10-12 psi) is short (1-2 hours) so that carpet can be installed "overnight" and used the next morning. Full tensile bonding occurs within 24 to 36 hours. Furthermore, in addition to exhibiting excellent bonding characteristics, the adhesives of the invention are  
35 also excellent sealant for moisture emitting surfaces.

The general formulation of the adhesive of the invention normally, but optionally, includes, in addition

to a polymer latex base material, a modifier in the form of a waterborne polyether or polyester-polyurethane material in aqueous dispersion combined with an amount of rosin acid ester hardening agent in the form of an aqueous dispersion  
5 of synthetic resin, an amount of a thickening agent, typically polyacrylate, and sufficient water to yield the desired percent solids. The material includes an inert filler additive and a plasticizer/extender modifier. In addition, the formulation may include an amount of  
10 polyisocyanate, aziridines, or carbodiimide cross-linking material to cross-link any polyester or polyether/polyisocyanate resin material.

The cross-linking agent is also a water-dispersible polyisocyanate preferably in the form of an aliphatic  
15 polyisocyanate. One successfully used in the formula of the invention was based on a 1,6-Hexamethylene Diisocyanate (HDI), also known as polymeric hexamethylene diisocyanate, such products are available from the Polystar Rubber Division of Miles Inc. in Pittsburgh, Pennsylvania,  
20 identified as "Desmodur DA" or "R 255". Other cross-linkers such as aziridines and carbodiimides can also be used.

The formula also contains significant amounts of rosin acid ester hardening agents in the form of an aqueous  
25 dispersion of rosin ester, also known as a synthetic resin dispersion. In addition, the preferred adhesive formulation of the invention further contains varying amounts of inert filler additives and plasticizer/extender modifier compounds.

30 The suitable polymer latex base materials include acrylics, styrene-butadiene, carboxylated styrene-butadiene, nitriles, neoprenes, ethylene vinyl acetates, and the like. The preferred base material is a pressure sensitive acrylic ester-type latex emulsion. The preferred  
35 polyurethane modifier is a waterborne polyether polyurethane.

Inert filler additives are also utilized, such as

calcium carbonate in the form of whiting material, one of which is known as Marble White 199 available from Filler Products, Inc., Chatsworth, Georgia. That material is typically a minimum of 95% carbonates, including calcium  
5 (Ca) and magnesium (Mg), of which the magnesium carbonate is typically 20%-30%. The balance of the materials includes silica ( $\text{SiO}_2$ ), 1-1.5% and iron and aluminum oxides ( $\text{Fe}_2\text{O}_3$  and  $\text{Al}_2\text{O}_3$ ), 1-1.5%. The material is typically fine enough to pass -325 mesh. Other inert filler additives  
10 include Kaolin (hydrated aluminum silicate or china clay). This material is also typically -325 mesh and is available as spray-dried beads or in slurry form. Other useful inert filler additives include barium sulfates, silicate spheres, ground reprocessed rubber, cellulose, synthetic fiber  
15 (reclaimed) and any other materials having the chemical compatibility and physical properties desired.

The material also typically contains some plasticizer/extender modifying material such as paraffinic, naphthenics, and phthalates. These generally affect the  
20 spreadability of the material.

According to the present invention, the following represents an approximate weight percentage composition range of the preferred combination.

25	Polymer Latex Base Materials (such as Acrylics, Styrene-butadiene, Carboxylated Styrene-butadiene, Nitriles, Neoprenes, Ethylene Vinyl Acetates)	50-100 parts
30	Polyester or polyether Polyurethane Latex Modifiers	0- 80 parts
35	Inert Filler Additives (Kaolins, Calcium Carbonates, Barium Sulfates, Silicate spheres, Ground reprocessed rubber, Cellulose, synthetic fiber	0-160 parts

(reclaimed)

	Plasticizer/Extender Modifiers (paraffinics, naphthenics, phthalates)	0- 15 parts
5	Rosin Acid Ester	25- 70 parts
	Polyacrylate (thickener)	1 - 5 parts
	Water (adjustment for solids) solids	50- 70 percent
10	Polyisocyanate Cross-linker (aliphatic polyisocyanate, aziridine or carbodiimide cross-linker)	2- 10 parts

The relative amounts of the polymer latex base material and the polyurethane latex modifiers may vary in accordance with the desired or particular use of the adhesive to be prepared. The polymer latex base material imparts high tacky or stickiness and high shear resistance to the product whereas increased amounts of polyurethane latex modifiers can be used to increase the tensile of the system as desired.

For water resistance, approximately 7% aliphatic polyisocyanate (1,6-hexamethylene diisocyanate based polyisocyanate) cross-linking agent or a poly-functional water dispersible isocyanate may be added for modified curing properties and to provide additional water and solvent resistance.

The thickener material adds body and spreadability to the material and is typically an acrylic polymer in the form of a polyacrylate copolymer, ammonium salt, such as "Alcogum Arm" available from Alco Chemical Division of National Starch and Chemical Company, Chattanooga, Tennessee.

The polyester or polyether-polyisocyanate (polyurethane) aqueous dispersion is of a class of dispersible materials available in liquid form. This is the principal modifier material for the base material.

5 This invention has been described herein in considerable detail in order to comply with the patent statutes and to provide those skilled in the art with the information needed to apply the novel principles and to construct and use such specialized components as are  
10 required. However, it is to be understood that the invention can be carried out by specifically different equipment and devices, and that various modifications, both as to the equipment details and operating procedures, can be accomplished without departing from the scope of the  
15 invention itself.

Variations of the composition within the parameters of the General Formula adjust physical properties to meet specific installation specifications and requirements where advantageous, e.g., installation of rubber radial tiles,  
20 hardwood (laminated) plank or parquet and ceramic tiles.

While the aqueous dispersion formulae are freeze/thaw sensitive, compatible freeze point depressive materials may be incorporated where below freezing temperatures are likely to be encountered. One material that has been used  
25 successfully to prevent freezing and to extend and lower the curing temperature is urea, also a chemically compatible, water soluble and environmentally benign material.

The adhesive material of the invention has been found  
30 to provide complete resistance to alkali or caustic materials through at least pH 11.0. A further attribute is the moisture resistance of the material. This applies both to subsurface moisture and moisture associated with flooding, cleaning processes or spillage. Present  
35 subsurface moisture resistant materials are limited to resisting a maximum of about 3.0 pounds per 1000 square feet per 24 hours (as determined by the Rubber

Manufacturers Association Calcium Chloride Test Method).  
The adhesive preparation of the present invention used as  
a flooring primer or sealcoating layer on concrete, wood or  
other porous or semi-porous material has been observed to  
5 prevent subsurface moisture penetration or emission where  
the emission potential is as high as 5.5 - 6.0 pounds water  
per 1000 square feet per 24 hours.

When the material is used as a sealcoating, one layer  
is applied to the bare floor and allowed to cure.  
10 Thereafter, a second application is used as the bonding  
material to bond the floor covering to the subfloor.



## CLAIMS

1. A one-step water-based highly tacky liquid adhesive composition comprising an amount of an aqueous latex dispersion of a polymer latex base material selected from the group consisting of acrylics, styrene-butadiene, carboxylated styrene-butadiene, nitriles, neoprenes, ethylene, vinyl acetates and polymer resin with an amount of a rosin acid ester hardener and an amount of a thickener in sufficient water to adjust the percent solids to a predetermined amount.
2. The adhesive composition of claim 1 wherein said base material comprises a pressure sensitive acrylic polymer.
3. The adhesive composition of claim 2 further comprising an amount of one or more preformed polyurethane synthetic polymer latex resin modifiers selected from the group consisting of waterborne polyether and polyester-polyurethane synthetic polymer latex resins.
4. The adhesive composition of claim 1 further comprising an amount of one or more preformed polyurethane synthetic polymer latex resin modifiers selected from the group consisting of waterborne polyether and polyester-polyurethane synthetic polymer latex resins.
5. The adhesive composition of claim 3 further comprising an amount of polyisocyanate, aziridine or carbodiimide cross-linker.
6. The adhesive composition of claim 4 further comprising an amount of polyisocyanate, aziridine or carbodiimide cross-linker.
7. The adhesive composition of claim 1 further comprising an amount of inert filler and an amount of a plasticizer/extender.
8. The adhesive composition of claim 3 further comprising an amount of inert filler and an amount of a plasticizer/extender.
9. The adhesive composition of claim 7 wherein the inert filler additives are selected from the group

consisting of Kaolins, calcium carbonates, barium sulfates, silicate spheres, ground reprocessed rubber, cellulose, and reclaimed synthetic fiber reclaimed.

10. The adhesive composition of claim 7 wherein the plasticizer/extender modifiers are selected from the group consisting of paraffinics, napthenics and phthalates.

11. A water-based, one-step floor covering adhesive composition comprising the following approximate combination by weight:

10	Polymer Latex Base Materials (such as Acrylics, Styrene-butadiene, Carboxylated Styrene-butadiene, Nitriles, Neoprenes, Ethylene Vinyl Acetates)	50-100 parts
15	Polyether or Polyester Polyurethane Latex Modifiers	0- 80 parts
20	Inert Filler Additives (Kaolins, Calcium Carbonates, Barium Sulfates, Silicate spheres, Ground reprocessed rubber, Cellulose, synthetic fiber (reclaimed))	0-160 parts
25	Plasticizer/Extender Modifiers (paraffinics, napthenics, phthalates)	0- 15 parts
	Rosin Acid Ester	25- 70 parts
	Polyacrylate (thickener)	1 - 5 parts
30	Water sufficient to adjust solids to desired consistency	0 - 2 parts
	Polyisocyanate Cross-linker	

(aliphatic polyisocyanate  
cross-linker)

12. The adhesive composition of claim 11 wherein the percent solids is in the range from about 50 to about 70 percent.

## AMENDED CLAIMS

[received by the International Bureau on 11 June 1997 (11.06.97);  
original claims 1-12 replaced by new claims 1-12 (3 pages)]

1. A one-step water-based organic solvent free liquid adhesive composition comprising an amount of an aqueous latex dispersion of a polymer latex base material  
5 selected from polymers of the monomer group consisting of acrylics, styrene-butadiene, carboxylated styrene-butadiene, nitriles, neoprenes, ethylene, vinyl acetates and polymer resin with an amount of a rosin acid ester hardener and an amount of a thickener in sufficient water  
10 to adjust the percent solids to a predetermined amount.
2. The adhesive composition of claim 1 wherein said base material comprises a pressure sensitive acrylic polymer.
3. The adhesive composition of claim 2 further  
15 comprising an amount of one or more preformed polyurethane synthetic polymer latex resin modifiers selected from the group consisting of waterborne polyether-polyurethane and polyester-polyurethane synthetic polymer latex resins.
4. The adhesive composition of claim 1 further  
20 comprising an amount of one or more preformed polyurethane synthetic polymer latex resin modifiers selected from the group consisting of waterborne polyether-polyurethane and polyester-polyurethane synthetic polymer latex resins.
5. The adhesive composition of claim 3 further  
25 comprising an amount of polyisocyanate, aziridine or carbodiimide cross-linker.
6. The adhesive composition of claim 4 further comprising an amount of polyisocyanate, aziridine or carbodiimide cross-linker.
7. The adhesive composition of claim 1 further  
30 comprising an amount of inert filler and an amount of a plasticizer/extender.
8. The adhesive composition of claim 3 further comprising an amount of inert filler and an amount of a  
35 plasticizer/extender.
9. The adhesive composition of claim 7 wherein the inert filler additives are selected from the group

consisting of Kaolins, calcium carbonates, barium sulfates, silicate spheres, ground reprocessed rubber, cellulose, and reclaimed synthetic fiber reclaimed.

10. The adhesive composition of claim 7 wherein the plasticizer/extender modifiers are selected from the group consisting of paraffinics, napthenics and phthalates.

11. A water-based, one-step floor covering adhesive composition comprising the following approximate combination by weight:

10	Polymer Latex Base Materials selected from the group consisting of polymers of Acrylics, Styrene- butadiene, Carboxylated Styrene- butadiene, Nitriles, Neoprenes, Ethylene and Vinyl Acetates	50-100 parts
15	Polyether or Polyester Polyurethane Latex Modifiers	0- 80 parts
20	Inert Filler Additives (Kaolins, Calcium Carbonates, Barium Sulfates, Silicate spheres, Ground reprocessed rubber, Cellulose, synthetic fiber (reclaimed)	0-160 parts
25	Plasticizer/Extender Modifiers (paraffinics, napthenics, phthalates)	0- 15 parts
	Resin Acid Ester	25- 70 parts
	Polyacrylate (thickener)	1 - 5 parts
30	Water sufficient to adjust solids to desired consistency	0 - 2 parts

AMENDED SHEET (ARTICLE 19)

Polyisocyanate Cross-linker  
(aliphatic polyisocyanate  
cross-linker)

12. The adhesive composition of claim 11 wherein the  
5 percent solids is in the range from about 50 to about 70  
percent.

AMENDED SHEET (ARTICLE 19)

## STATEMENT UNDER ARTICLE 19

The replacement pages containing new claims 1-12 are intended to replace the originally submitted pages containing claims 1-12. The new claims contain modifications intended to clarify and more concisely describe the invention.

The newly presented claims are believed to be well within the scope of the invention described in the specification and, in addition to capturing the inventive concept, more clearly define over the references cited in categories "X" and "Y" in the International Search Report.

On the merits, the present claims are believed to patentably distinguish over the cited references, taken either singularly as in Category "X" or in combination as in Category "Y". The first rejection (claims 1-10) is based on Tsukahara et al (U.S. Patent 4,745,026) in Category "X".

The adhesive material described by Tsukahara et al is fundamentally different from the one-step material disclosed and claimed in the present invention. The reference relates to a solid thermal delayed tack sheet that is applied as a water-based coating to items to be adhesively joined. The coating contains a heat-activated adhesive polymer and a solid plasticizer. Their material is not a water-based liquid adhesive. The tack sheet becomes a solid thermoplastic coating on a base sheet having a surface which is non-adhesive at ambient temperatures. It is only after it is heated up to liquefaction that it becomes adhesive with respect to joining diverse items together. The materials of Tsukahara et al are of a class long characterized as "hot melt adhesives" which are solid at room temperature and must be heated up to about 150°C to become tacky or for the adhesive characteristics for the final bond to be activated. See, for example, column 1, lines 48-55 and column 3, lines 10-20 of the patent.

The reference does disclose the use of polyurethane emulsions; see, for example, examples 16 and 17 in columns 22 and 23, however, these are only used to prepare a non-adhesive dry coated paper from an aqueous coating solution.

Thus, Tsukahara et al fails to disclose or teach a water-based liquid adhesive material which is a one-step material in which the adhesive bonding properties are fully activated in its ordinary as-prepared state. Applicants believe that Tsukahara et al does not meet or render obvious the composition of claim 1 or claim 11 of the present application and so the dependent claims are also deemed to distinguish thereover.

Claims 1, 2, 7 and 9-12 also stand rejected under Category "X" with respect to Lofgren (U.S. Patent 4,654,388) and claims 3, 4, 8 and 10 under Category "Y".

The Lofgren compositions require a tackifying substance in the form of a mixture of wood rosin and esterified rosin added as a solution in "diethylene glycol monbutyl ether" which serves as a solvent for the tackifying resins. (See column 2, line 46 to column 3, line 5) This is not an adhesive material free of organic solvents and whether his solvent has a relatively high boiling point or not, it does have a vapor pressure at room temperature and will emit organic solvent vapors. Furthermore, the only use for polyurethanes in Lofgren is as thickening agents to influence the flow characteristics of the composition. This, according to his example, is only about 1% and he clearly has not recognized the polymer latex modifying properties of the polyurethanes. Additionally, it is not clear from studying the Lofgren reference whether the urethane thickeners are water soluble or soluble in his organic solvent with the rosins.

It is hoped that the above remarks will be helpful in the continued prosecution of the application.



# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/00832

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : C09J 109/04, 109/08, 109/10, 113/02, 131/04, 133/06  
US CL : 524/35, 270, 271, 765

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 524/35, 270, 271, 765

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4,745,026 A (H. TSUKAHARA) 17 May 1988, examples.	1-10
X	US 4,654,388 A (P. LOFGREN) 31 March 1987, column 3, line 19 and example.	1,2,7,9-12
---		-----
Y		3,4,8,10

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G* document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means	
*P* document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

20 MARCH 1997

Date of mailing of the international search report

07 APR 1997

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

PATRICIA SHORT

Telephone No. (703) 308-2351

Form PCT/ISA/210 (second sheet)(July 1992)\*

**THIS PAGE BLANK (USPTO)**

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☐ ~~FADED~~ TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☐ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**

**-THIS PAGE BLANK (USPTO)**